



## Banks, Financial Products & Mis-selling: Protecting the Retail Investor

**14 & 15 December 2017, Thursday & Friday**

Faculty of Law, National University of Singapore

### Overview of Symposium

The mushrooming of claims against banks as financial advisers has been triggered by recent financial crises, including the Asian financial crisis and the global financial crisis (GFC). Since the GFC, there has been much focus on how to allocate risk for failed investments. The trend has been to boost regulatory duties and remedies, at least for retail clients, while sophisticated and professional investors may be confined to common law claims. The problem and its solutions have featured prominently in the courts, parliamentary debates and the media in the last decade.

The Symposium will examine the prominent issue of bank liability for mis-selling investment products. In particular, it will consider the different ways in which the law is setting the boundaries of liability for financial advising and the challenges inherent in doing so. There will be a particular focus on private law remedies and the trend towards regulatory remedies in various jurisdictions, as well as some reflection on the role of regulation in the private law. Related issues, such as financial dispute resolution and conflicts of laws, will also be discussed. Contributing scholars are drawn from a range of jurisdictions: Australia, Hong Kong, Singapore, the United Kingdom and United States.

### Participation is By Invitation Only

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