

Banks, Financial Products & Mis-selling: Protecting the Retail Investor



Participants of the 'Banks, Financial Products & Mis-selling' Symposium.

Top row (from left to right): Prof Christian Witting (Queen Mary University of London), Prof Keith Stanton (University of Bristol), Prof Mercer Bullard (University of Mississippi), Assoc Prof Katy Barnett (The University of Melbourne), Prof Simone Degeling (UNSW Australia), Asst Prof Christian Hofmann (NUS), Prof Gerard McMeel (University of Manchester)

Bottom row (from left to right): Assoc Prof Ding Chunyan (City University of Hong Kong), Assoc Prof Jeannie Paterson (The University of Melbourne), Assoc Prof John Ho (City University of Hong Kong), Assoc Prof Sandra Booyesen (NUS, Symposium Organiser), Dr Holly Powley (University of Bristol), Assoc Prof Dora Neo (NUS)

On 14 & 15 December 2017, the National University of Singapore (NUS) Centre for Banking & Finance Law (CBFL) hosted a Symposium entitled 'Banks, Financial Products & Mis-selling: Protecting the Retail Investor' at the NUS Faculty of Law (Bukit Timah Campus). The symposium was organized by CBFL's Deputy Director, Assoc Prof Sandra Booyesen, and examined various aspects of mis-selling in the consumer context including statutory protections, duties of care, fiduciary duties and alternative dispute resolution.



Banks, Financial Products & Mis-selling: Protecting the Retail Investor

14 & 15 December 2017, Thursday & Friday

Faculty of Law, National University of Singapore

Overview of Symposium

The mushrooming of claims against banks as financial advisers has been triggered by recent financial crises, including the Asian financial crisis and the global financial crisis (GFC). Since the GFC, there has been much focus on how to allocate risk for failed investments. The trend has been to boost regulatory duties and remedies, at least for retail clients, while sophisticated and professional investors may be confined to common law claims. The problem and its solutions have featured prominently in the courts, parliamentary debates and the media in the last decade.

The Symposium will examine the prominent issue of bank liability for mis-selling investment products. In particular, it will consider the different ways in which the law is setting the boundaries of liability for financial advising and the challenges inherent in doing so. There will be a particular focus on private law remedies and the trend towards regulatory remedies in various jurisdictions, as well as some reflection on the role of regulation in the private law. Related issues, such as financial dispute resolution and conflicts of laws, will also be discussed. Contributing scholars are drawn from a range of jurisdictions: Australia, Hong Kong, Singapore, the United Kingdom and United States.

Participation is By Invitation Only

For more information, please contact Ms Germaine Tan at cbfl@nus.edu.sg

The Speakers (in order of family name):



Katy BARNETT
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University of Melbourne



John HO
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City University Hong Kong



Hugh BEALE
Professor
University of Warwick



Gerard MCMEEL
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