Dr. Lin <u>LIN</u>

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SSRN: https://papers.ssrn.com/sol3/cf_dev/AbsByAuth.cfm?per_id=1721858

ResearchGate: https://www.researchgate.net/profile/Lin-Lin-18

Google Scholar: https://scholar.google.com.sg/citations?user=h0tzy2wAAAAJ&hl=en

WORK EXPERIENCE

- Associate Professor, Faculty of Law, National University of Singapore (NUS), since Jan 2021
- Assistant Professor, Faculty of Law, National University of Singapore (NUS), June 2014-Dec 2020
- Research Fellow, Centre for International Law, NUS, 2012-May 2014

COURSES TAUGHT OR CURRENTLY TEACHING AT NUS

- 1. Elements of Company Law
- 2. Alternative Investments
- 3. Private Equity and Venture Capital: Law and Practice
- 4. Chinese Commercial Law
- 5. Chinese Corporate and Securities Law
- 6. Legal Systems of Asia
- 7. Modern Chinese Law and Legal Chinese
- 8. Corporate Governance and Sustainable Development

Research Interests

Corporate law, corporate finance, alternative investments, sustainable investments, Chinese corporate and securities law, corporate governance

AWARDS AND HONOURS

- 1. University of Melbourne, Senior Fellow, Jan-December 2020
- 2. University of Oxford, Harris Manchester College, Visiting Fellowship, 2019
- 3. Humanities and Social Sciences Faculty Research Fellowship, NUS, 2019
- 4. My paper was selected as one of the twelve invited papers in the 2nd <u>Chicago-Tsinghua Junior Faculty</u> <u>Forum</u>, 2019 (fully sponsored)
- 5. My paper was selected as one of the ten invited papers in the 8th <u>Stanford International Junior Faculty</u> <u>Forum</u>, 2015 (fully sponsored)
- 6. President Graduate Fellowship, NUS (PhD), 2006
- 7. Faculty Student Scholarship, NUS (LLM), 2005

VISITING APPOINTMENTS

- 1. University of Melbourne, Visiting Professor, September 2020 Course: Commercial Law in Asia
- 2. **University of Oxford**, Harris Manchester College, Visiting Fellow, Nov 2019
- 3. Southwest University of Political Science and Law, China, Visiting Professor, Oct 2019 Course: Comparative Corporate Law
- 4. **East China University of Political Science and Law**, NUS LLM(IBL), 2019, 2020 and 2021 <u>Course:</u> Private Equity and Venture Capital: Law and Practice
- 5. National Chengchi University, Taiwan, Visiting Professor, March 2016 Course: Singapore Company Law
- 6. University of Copenhagen, Centre for Commercial Law, Visiting Scholar, June 2015
- 7. University of Helsinki, Finnish Centre of Chinese Law and Chinese Legal Culture, Visiting Scholar, May 2015
- 8. **Stanford University**, Stanford Law School, Arthur and Toni Rembe Rock Centre for Corporate Governance, Visiting Scholar, 2012-2013

EDITORIAL BOARDS

- 1. Editor, Asian Journal of Comparative Law (2019-present)
- 2. Editor, Journal of Malaysian and Comparative Law (2019-present)

- 3. **Member of Editorial Committee**, <u>The Venture Capital Investment Model Agreements (VIMA)</u> <u>Handbook</u>, Singapore Academy of Law (2020)
- 4. Guest Editor, European Business Organization Law Review (2019-present)
- 5. Guest Editor, Singapore Journal of Legal Studies (2019-2020)

OTHER ACADEMIC APPOINTMENTS AND SERVICES

EXTERNAL

- 1. Research Member, European Corporate Governance Institute (2023-present)
- 2. Special Counsel, Steering Committee of Taiwan Company Law Reform (2015-2017)
- 3. Arbitrator, Hainan International Arbitration Court
- 4. Arbitrator, Guangzhou Arbitration Commission
- 5. Mediator, Hainan International Commercial Mediation Centre
- 6. Fellow, Commercial Law Institute of China Law Society, People's Republic of China
- 7. Fellow, Banking Law Institute of China Law Society, People's Republic of China
- 8. Guest Contributor, Oxford Business Law Blog
- 9. Guest Instructor, Nanyang Technopreneurship Centre, Nanyang Technological University (NTU)
- 10. Master Thesis Examiner, East China University of Political Science and Law, 2019
- 11. PhD Thesis Examiner, Chinese University of Hong Kong, 2022

INTERNAL

- 1. Member, Executive Committee, Centre for Banking and Finance Law, NUS
- 2. Coordinator, Comparative Commercial Law Cluster, Centre for Asian Legal Studies, NUS
- 3. Member, Faculty Research Committee, NUS
- 4. Academic Fellow, EW Barker Centre for Law & Business, NUS
- 5. Academic Fellow, Centre for Asian Legal Studies, NUS
- 6. Academic Fellow, Centre for Technology, Robotics, Artificial Intelligence & the Law, NUS
- 7. Coach, the 6th Asia-Pacific Merger and Acquisition (M&A) Moot Competition

REVIEWER FOR JOURNALS/BOOKS

- Cambridge University Press
- American Journal of Comparative Law
- Journal of Corporate Law Studies
- Journal of Financial Regulation
- Australian Journal of Asian Law
- Singapore Journal of Legal Studies
- Chinese Journal of Comparative Law
- International Review of Law & Economics
- Edward Elgar Publishing
- City University of Hong Kong Law Review
- China: An International Journal

- Routledge Publishing
- European Business Organization Law Review
- Banking and Finance Law Review
- Asian Journal of Comparative Law
- Hong Kong Law Journal
- Asia Pacific Law Review
- Hart Publishing
- Journal of Malaysian and Comparative Law
- Journal of Asian Studies
- Singapore Academy of Law Journal
- Singapore Law Review

PUBLICATIONS

Воок

Lin Lin, Venture Capital Law in China (Cambridge University Press, 2021) (ISBN: 9781108423557)

- Sole-authored monograph (356 pp)
- International Corporate Law and Financial Market Regulation Series
- Endorsed by Ronald Gilson (Columbia Law), Luca Enriques (Oxford Law), Michael Klausner (Stanford Law) and Josh Lerner (Harvard Business)
- Book link: <u>https://www.cambridge.org/sg/academic/subjects/law/corporate-law/venture-capital-law-china?format=HB</u>
- Reviewed positively by Cambridge Law Journal, Asian Journal of Comparative Law, Asian Journal of Law and Society, Australian Business Law Review, Banking and Finance Law Review, Singapore Journal of Legal Studies

JOURNALS EDITED

- 1. Special issue on "Sustainable Finance and Capital Markets", 23(1) European Business Organization Law Review, 2022
- 2. Special issue on "Alternative Investments, Sustainability in the Digital Age", 21(1) European Business Organization Law Review, 2020
- 3. Special issue on "Alternative Investment in the Tech Era", Singapore Journal of Legal Studies, March 2020

JOURNAL ARTICLES

- 1. Lin Lin, C-REITs and Investor Protection, 39 (2), 2023 Banking and Finance Law Review 159- 190 (lead article)
- Lin, Lin and Puchniak, Dan W., "Institutional Investors in China: Corporate Governance and Policy Channeling in the Market Within the State", European Corporate Governance Institute - Law Working Paper No. 590/2021, 35(1) 2022 Columbia Journal of Asian Law 74-159
 -Featured on the Oxford Business Law Blog
 -Featured on the Columbia law School Blue Sky Blog
- 3. Lin Lin and Hong Yanrong, 23(1) 2022 "Developing a Green Bond Market: Lessons from China", European Business Organization Law Review, 143 185
- 4. Lin Lin, 23(1) 2022 "Venture Capital in the Rise of Sustainable Investments", European Business Organization Law Review 187 216
- 5. Lin Shaowei and Lin Lin, 13(2) 2021 "Directors' Duty of Care in China: Empirical and Comparative Perspective" Tsinghua China Law Review
- 6. Lin Lin, "Contractual Innovation in China's Venture Capital Market" (2020) 21(1) European Business Organization Law Review 101-138
- Lin Lin and Umakanth Varottil, "Venture Capital in China and India: Does Business Form Matter?" (2020) 53(3) Vanderbilt Journal of Transnational Law 949-987
- 8. Lin Lin and Christopher Chen, "The Promise and Perils of InsurTech" (2020) 1 Singapore Journal Legal Studies 115-142
- 9. Lin Lin, "Regulating FinTech: The Case of Singapore" (2019) 35 Banking and Finance Law Review 94-119
- Lin Lin and Dominika Nestarcova, "Venture Capital in the Rise of Crypto Economy" (2019) 16(2) Berkeley Business Law Journal 533-571
- 11. Lin Lin, "Venture Capital in Singapore: The Way Forward" (2019) 5 Journal of Business Law 363-387 (peer-reviewed)
- 12. Lin Lin, "Code of Corporate Governance: Lessons from Singapore to China" (2019) 40(7) Company Lawyer 227-236
- 13. Lin Lin, "Private Equity Investor Protection: Conceptualizing Duties of Partners in China" (2018) 15
 (1) Berkeley Business Law Journal 43-91
- 14. Lin Lin, "Engineering a Venture Capital Market: Lessons from China" (2017) 30(1) Columbia Journal of Asian Law 160-220
- 15. Lin Lin, "Managing the Risks of Equity Crowdfunding: Lessons from China" (2017) 17(2) Journal of Corporate Law Studies 327-366

The top 10 most cited articles published in Journal of Corporate Law Studies

16. Lin Lin, "Venture Capital Exits and the Structure of Stock Market: Lessons from China" (2017) 12 (1) Asian Journal of Comparative Law 1-40

The top 10 most cited articles published in Asian Journal of Comparative Law

- 17. Lin Lin and Michael Ewing-chow, "The Doing Business Indicators in Minority Investor Protection: The Case of Singapore" (2016) 1 Singapore Journal of Legal Studies 46-69
- Lin Lin, "Regulating Executive Compensation in China: Problems and Solutions" (2014) 32(2) Journal of Law and Commerce 207-254
- Lin Lin, "The Evolution of Partnerships in China from the Perspective of Asset Partitioning" (2013) 18(2) Stanford Journal of Law, Business and Finance 215-249
- 20. Lin Lin, "The Private Equity Limited Partnership in China: A Critical Evaluation of Active Limited Partners" (2013) 13(1) Journal of Corporate Law Studies 185-217
- 21. Lin Lin, "Limited Partners' Derivative Action: Problem and Prospects in the Private Equity Market of China" (2011) 41(2) Hong Kong Law Journal 517-546
- 22. Lin Lin, "Limited Liability Partnership in China: A Long Way Forward" (2010) 7 International Company and Commercial Law Review 259-270

23. Lin Lin & H Y Yeo, "Limited Partnership: A New Business Vehicle in People's Republic of China" (2010) 25(2) Butterworths Journal of International Banking and Financial Law 104-109

JOURNAL ARTICLE (IN CHINESE)

24. Lin Lin, "The Law of Venture Capital in Singapore" (2020) 1(8) Business and Economic Law Review 41-63

SHORT ARTICLES

- 1. Iris H-Y Chiu, Lin Lin and David Rouch, "Law and Regulation for Sustainable Finance" 23(1) (2022) European Business Organization Law Review 1–7
- 2. Lin Lin and Hans Tjio, "Alternative Investments, Sustainability in the Digital Age" (2020) 21(1) European Business Organization Law Review 1-5
- 3. Lin Lin and Dora Neo, "Alternative Investments in the Tech Era", (2020) 1 Singapore Journal of Legal Studies 1-3

BOOK CHAPTERS

- 1. Lin Lin, "Private Equity And Venture Capital: A Critical Assessment Of Their Growth And Self-Regulation", forthcoming book chapter in Iain MacNeil & H-Y. Chiu eds, *Research Handbook on Global Capital Markets Law* (Edward Elgar, 2023) pp 58-76.
- 2. Lin Lin, "Private Equity Investments in China" in Brian Broughman and Elisabeth de Fontenay eds, *Research Handbook on the Structure of Private Equity and Venture Capital* (Edward Elgar, forthcoming)
- 3. Lin Lin, "Shareholder Engagement in East Asia" in Luca Enriques and Giovanni Strampelli eds, "Board-Shareholder Dialogue: Best Practices, Legal Constraints and Policy Options" (Cambridge University Press, forthcoming)
- 4. Lin Lin, "Venture Capital, Angel Capital & Other Finance, IPOs and Acquisition" in Fu Xiaolan, Bruce Mckern & Chen Jin eds, *Oxford Handbook of China Innovation*, (Oxford University Press, 2022) Part IV, section 4.4.
- 5. Dan Puchniak and Lin Lin, "Institutional Investors in China: An Autochthonous Mechanism Unrelated to UK-cum-Global Stewardship", in D. Katelouzou & D. Puchniak eds, *Global Shareholder Stewardship*, (Cambridge University Press, 2022)
- 6. Lin Lin, "Private Equity" in Dora Neo, Hans Tjio and Lan Luh Luh eds, *Financial Services Law and Regulation* (Academy Publishing, 2018) 563-594
- 7. Lin Lin, "Special General Partnership in China" in Frenkel, David A. and Gerner-Beuerle, Carsten, eds, *Challenges of the Law in a Permeable World* (ATINER, 2009) 87-100

BOOK REVIEWS

- 1. Lin Lin, Book review of *The Venture Capital State: The Silicon Valley Model in East Asia* (Cornell, 2018) by Robyn Klingler-Vidra, (2020) 79(4) Journal of Asian Studies
- 2. Lin Lin, Book Review of *Corporate Crime in China: History and Contemporary Debates* by Zhou Zhenjie (2015) Singapore Journal of Legal Studies 284 -287

Translation

Translation of the article titled "The EU Sustainable Finance Agenda: Developing Governance for Double Materiality in Sustainability Metrics" written by Iris H-Y Chiu, Economic and Trade Law Review (2022) Vol.3. page, 130-158

INTERNATIONAL REPORTS

- 1. *Transforming Paradigms: A Global AI in Financial Services Survey* (Cambridge Centre for Alternative Finance and World Economic Forum, 2020)
- Early Lessons on Regulatory Innovations to Enable Inclusive FinTech: Innovation Offices, Regulatory Sandboxes, and RegTech (FinTech Working Group of the United Nations Secretary-General's Special Advocate (UNSGSA) for Inclusive Finance for Development and Cambridge Centre for Alternative Finance, 2019)
- 3. *Global Cryptoasset Regulatory Landscape Study* (Cambridge Centre for Alternative Finance, 2019)
- The ASEAN Fintech Ecosystem Benchmarking Study (Cambridge Centre for Alternative Finance, Asian Development Bank Institute (ADBI) & FinTechSpace, 2019)

LAW REFORM REPORTS/ CONSULTATION

- 1. Invited Responses to questionnaire for World Bank Doing Business Report on the reform of dispute resolution indicator 2022
- 2. Responses to the Questionnaire issued by Monetary Authority of Singapore (MAS) and Accounting and Corporate Regulatory Authority (ACRA) on Singapore Limited Partnership Act, May 2020
- 3. Consultation on regulations of private equity in Singapore and EU, Asset Management Association of China (AMAC), People's Republic of China, 2020
- 4. Consultation report for the Steering Committee of the Taiwan Company Law Reform, 2015
- 5. Public consultation report on Review of Business Registration Act of Singapore, Legal Policy Reform Project 2011

PUBLIC LECTURES

- 1. Invited talk on Institutional Investors in China: Corporate Governance and Policy Channeling in the Market Within the State, Peking University, School of Transnational Law, April 13, 2022
- 2. "Venture Capital in China: Law and Practice", 26 November 2021, East Asia Institute, NUS
- 3. "The Role of the State in Venture Capital Markets- VC and FDI in a Comparative Context", 27 May 2021 (London Centre for Commercial and Financial Law)
- 4. "Venture Capital in China: Law and Practice", 23 April 2021, CCTL Corporate Law and Governance Cluster Seminar (Book Talk)
- 5. "Alternative Investments in the Tech Era", 2020 Global FinTech Online Workshop, 6 Nov 2020
- "Contractual Innovation in China's Venture Capital Market", Commercial Law Centre, University of Oxford, 21 Nov 2019
- 7. "Venture Financing in China, Legal Innovations and Opportunities", University College London (UCL), 11 Nov 2019
- 8. "Venture Capital: A Comparative Analysis of China, US and Singapore", School of Law, Tsinghua University, 13 June 2019
- "Valuation Adjustment Mechanisms in China's Private Equity Market", Sun Yet-sun University, 13 Dec 2019
- 10. "Private Equity and Venture Capital: Law and Practice", China University of Political Science and Law, 20 June 2019
- 11. "Limited Partnership: A Comparative Study", East China University of Political Science and Law, 23 April 2019
- 12. "Private Equity: Law and Practice", Shanghai Jiao Tong University, 19 April 2019
- 13. "Venture Capital: A Comparative Study", Shanghai University of Finance and Economics, 25 April 2019
- 14. "Entity Formation and Early Financing in China and the US", Sino-American Expert Forum on Legal and Funding Issues for Successful Start-ups, UC Berkeley and Peking University, School of Transnational Law, 2 Dec 2018
- 15. "The Rise and Fall of Equity Crowdfunding", the 4th Luxemburg FinTech Conference, University of Luxembourg and the Luxembourg House of Financial Technology, 10 October 2018
- 16. "Law and Practice of Venture Capital: A Comparative Study", University of International Business, and Economics, 29 October 2018.
- 17. "Engineering a Venture Capital Market in China", East Asia Institute of NUS, 29 April 2016
- "Company Law Reform in Singapore", Workshop on Company Law Reform in Taiwan, Steering Committee of the Taiwan Company Law Reform, 7 Jan 2016
- 19. "A Critical Study of the Chinese Venture Capital Market", National Taiwan University, 21 May 2015
- 20. "Venture Capital in China: Law and Practices", The Finnish Center of Chinese Law and Chinese Legal Culture, University of Helsinki, 26 May 2015
- 21. "A Critical Evaluation of Venture Capital and Private Equity Funds in China", Rock Centre for Corporate Governance, Stanford University, 16 May 2013

CONFERENCE PRESENTATIONS AND PANELLISTS (SELECTED)

- 1. "Venture Capital in the Rise of Sustainable Investment", the 8th International Conference on Law and Economics: Law and Economics in the Post-Pandemic Era, 10 June 2022
- 2. Invited commentator, ADB Virtual Conference on Fintech and COVID-19, Mar 31, 2021
- "FinTech and Regulations in Singapore", International Financial Risk Prevention and Financial Regulation in the New Era, Commercial Law Institute of China Law Society & Macau University of Science and Technology, 16 Dec 2019

- 4. Panellist, "Financial Codes and Modernization of Financial Law", Guangdong Civil and Business Law Association and Sun Yat-sen University, 14 Dec 2019
- "Private Equity Investor Protection: Conceptualizing Duties of General Partners in China", the 19th International Conference of Tsinghua 21st Century Commercial Law Forum, Tsinghua Law School, 26-27 Oct 2019
- 6. "Venture Capital in China and India: Does Business Form Matter?", Vanderbilt and NUS Symposium on Comparative Corporate Law & Governance: Asian and Global Perspectives, 25-26 July 2019
- "Contractual Innovation in China's Venture Capital Market: The Case of Valuation Adjustment Mechanism", <u>the 2nd Chicago-Tsinghua Junior Faculty Forum</u>, Chicago Law School & Tsinghua Law School, 13-14 June 2019
- 8. Invited commentator, the 7th International Law and Economics Conference: Law and Economics at Its Crossroad, China University of Political Science and Law, 21 June 2019
- "Contractual Innovation in China's Venture Capital Market", the 7th International Law and Economics Conference: Law and Economics at Its Crossroad, Chinese University of Political Science and Law, 21 June 2019
- 10. "Venture Capital in the Rise of Crypto Economy", Financial Law Amity Symposium, 11-12 Feb 2019
- 11. "Private Equity in Singapore", Financial Services Law and Regulation in Singapore, 28 Feb-1 March 2019
- 12. "Corporate Governance Code in Singapore", the 18th International Conference of 21st Century Commercial Law Forum, Tsinghua University, 30-31 Oct 2018
- 13. "Private Equity in Singapore: A Critical Analysis", Symposium on Hedge Funds and Alternative Investment Funds in Hong Kong and Singapore, City University of Hong Kong, 20 April 2018
- 14. "Bankruptcy and Entrepreneurship: Lessons from Singapore", the 16th International Conference of 21st Century Commercial Law Forum, Tsinghua University, 30-31 Oct 2016
- 15. "Developing A Venture Capital Market: The Case of China", The Chicago Coase-Sandor Institute for Law and Economics Colloquium, Chicago Law School, 22 July 2016
- 16. "Executive Compensation in SOEs: Lessons from Singapore to China", Tsinghua-NUS Workshop on Corporate Governance of State-owned Enterprises in Singapore and China, 1 May 2016
- 17. "Venture Capital and the Structure of Capital Markets: The Case of China", the 15th International Conference of 21st Century Commercial Law Forum, Tsinghua University, 31 Oct-1 Nov 2015
- "Re-engineering A Venture Capital Market: The Case of China", the <u>8th Stanford International Junior</u> <u>Faculty Forum, Stanford Law School</u>, 9-10 Oct 2015
- 19. Panellist, "Closely-held Companies Workshop", National Chengchi University, Taiwan, 21 Sept 2015,
- 20. Invited commentator, Comparative Takeover Regulation Conference, NUS and Singapore Management University, 23-24 July 2015
- 21. "Regulating Executive Compensation in China", The 7th Annual Workshop of the Nordic Corporate Governance Network, Copenhagen Business School, 1-2 June 2015
- 22. "Doing Business and Investor Protection: The Case of Singapore", Workshop of China, Southeast Asia and International Economic Law: Contemporary Issues and Future Prospects, 1 Dec 2014

EXECUTIVE TRAINING

- 1. Chinese EMBA programme, Business School, National University of Singapore
- 2. Nanyang Technological University (NTU), Nanyang Technopreneurship Centre, Blockchain Innovation Strategy Programme
- 3. Bank of China, FinTech and Regulation in Singapore, Singapore
- 4. The Ministry of Corporate Affairs Government of India, Attachment Programme of the Indian Corporate Law Services