#### Dr. Lin LIN

Faculty of Law, National University of Singapore 469G Bukit Timah Road, Singapore 259776

<u>lawll@nus.edu.sg</u> <u>Google Scholar, SSRN</u>

## **WORK EXPERIENCE**

- Associate Professor, Faculty of Law, National University of Singapore (NUS), Jan 2021-present
- Assistant Professor, Faculty of Law, NUS, June 2014-Dec 2020
- Research Fellow, Centre for International Law, NUS, 2012-May 2014
- Legal Policy Officer, Accounting and Corporate Regulatory Authority of Singapore, 2011-2012
- Legal Executive, ShookLin&Bok LLP, Singapore, 2010-2011
- Assistant Legal Counsel, Singapore International Arbitration Centre, 2006

## **COURSES TAUGHT OR CURRENTLY TEACHING AT NUS**

- 1. Elements of Company Law
- 2. Alternative Investments
- 3. Private Equity and Venture Capital: Law and Practice
- 4. Chinese Commercial Law
- 5. Chinese Corporate and Securities Law
- 6. Legal Systems of Asia
- 7. Modern Chinese Law and Legal Chinese
- 8. Corporate Governance and Sustainable Development

## **RESEARCH INTERESTS**

Corporate law and governance, corporate finance, climate/ESG finance, alternative investments, private equity, venture capital, FinTech and regulation

## **AWARDS AND EDUCATION**

- 1. Humanities and Social Sciences Faculty Research Fellowship, NUS, 2019
- 2. My paper was selected as one of the twelve invited papers in the 2nd <u>Chicago-Tsinghua Junior Faculty Forum</u>, 2019 (fully funded)
- 3. My paper was selected as one of the ten invited papers in the 8th <u>Stanford International Junior Faculty</u> Forum, 2015 (fully funded)
- 4. President Graduate Fellowship, PhD, NUS, 2006-2010
- 5. Faculty Student Scholarship, LLM, NUS, 2005
- 6. First Class Scholarship, LLB, GDUFS, 2001-2005
- 7. National Champion, Willem C. Vis International Commercial Arbitration Moot, 2003

# **VISITING POSITIONS**

1. University of Auckland, Visiting Professor, 2025

Course: FinTech Finance and Regulations

2. University of Melbourne, Senior Fellow, 2020 & 2024

Course: Commercial Law in Asia

- 3. Renmin University, China, Visiting Professor, 2024
- 4. Sichuan University, China, Visiting Professor, 2024

Course: Corporate Finance and FinTech Regulation

- 5. University of Oxford, Harris Manchester College, Senior Visiting Fellow, 2019
- 6. Southwest University of Political Science and Law, China, Visiting Professor, 2019

- **Course:** Comparative Corporate Law
- 7. National Chengchi University, Taiwan, Visiting Professor, 2016
  - Course: Singapore Company Law
- 8. University of Copenhagen, Centre for Commercial Law, Visiting Scholar, 2015
- 9. **University of Helsinki**, Finnish Centre of Chinese Law and Chinese Legal Culture, Visiting Scholar, 2015
- 10. **Stanford University**, Arthur and Toni Rembe Rock Centre for Corporate Governance, Visiting Scholar, 2012-2013

## **SERVICES**

#### **EXTERNAL**

- 1. Research Member, European Corporate Governance Institute (ECGI)
- 2. Research Affiliate, Sustainable and Green Finance Institute (SGFIN), NUS
- 3. Special Counsel, Steering Committee of Taiwan Company Law Reform
- 4. Academic Council, Commercial Law Institute of China Law Society
- 5. Academic Council, Banking Law Institute of China Law Society
- 6. International Collaboration Researcher, Centre for Innovative Enterprise Law, National Taiwan University
- 7. Guest Contributor, Oxford Business Law Blog

#### **INTERNAL**

- 1. Member, Executive Committee, Centre for Banking and Finance Law, NUS
- 2. Coordinator, Comparative Commercial Law Cluster, Centre for Asian Legal Studies, NUS
- 3. Member, Faculty Research Committee, NUS
- 4. Academic Fellow, EW Barker Centre for Law & Business, NUS
- 5. Academic Fellow, Centre for Asian Legal Studies, NUS
- 6. Academic Fellow, Centre for Technology, Robotics, Artificial Intelligence & the Law, NUS
- 7. Coach, the 6<sup>th</sup> Asia-Pacific Merger and Acquisition (M&A) Moot Competition

#### ARBITRATION AND MEDIATION

- 1. Arbitrator, Hainan International Arbitration Court
- 2. Arbitrator, Guangzhou Arbitration Commission
- 3. Mediator, Hainan International Commercial Mediation Centre

### **EDITORIAL BOARDS**

- 1. **Editor**, Asian Journal of Comparative Law (2019-present)
- 2. **Editor,** Journal of AI Risk Regulation, Law and Policy (Since 2026)
- 3. **Editor**, Journal of Malaysian and Comparative Law (2019-present)
- 4. **Member of Editorial Committee**, <u>The Venture Capital Investment Model Agreements (VIMA) Handbook</u>, Singapore Academy of Law (2020)
- 5. **Guest Editor**, European Business Organization Law Review 2020 and 2023
- 6. Guest Editor, Singapore Journal of Legal Studies 2020

## **PEER REVIEWER**

- Cambridge University Press
- American Journal of Comparative Law
- Journal of Corporate Law Studies
- Journal of Financial Regulation
- Australian Journal of Asian Law
- Singapore Journal of Legal Studies
- Chinese Journal of Comparative Law
- International Review of Law & Economics

- Routledge Publishing
- European Business Organization Law Review
- Banking and Finance Law Review
- Asian Journal of Comparative Law
- Hong Kong Law Journal
- Asia Pacific Law Review
- Hart Publishing
- Journal of Malaysian and Comparative Law

- Edward Elgar Publishing
- City University of Hong Kong Law Review
- China: An International Journal

- Journal of Asian Studies
- Singapore Academy of Law Journal
- Singapore Law Review

## **PUBLICATIONS**

## RESEARCH MONOGRAPH

Lin Lin, *Venture Capital Law in China* (Cambridge University Press, 2021) (ISBN: 9781108423557)

- Sole-authored monograph (356 pp)
- International Corporate Law and Financial Market Regulation Series
- Endorsed by Ronald Gilson (Columbia Law), Luca Enriques (Oxford Law), Michael Klausner (Stanford Law) and Josh Lerner (Harvard Business)
- Book reviewed positively by:
  - o Dirk Zetzsche, Cambridge Law Journal, Vol. 81, 212-215 (March 2022)
  - o Guo Li, Asian Journal of Comparative Law (2021), 16, 182–185
  - o Andrew Godwin, Australian Business Law Review, Vol. 49, No. 5 (2022), 322
  - o Tang, Samantha S. Banking & Finance Law Review, Vol. 37, Issue. 3, 541-543.
  - o Umakanth Varottil, Singapore Journal of Legal Studies, 2021, (2021), pp. 413-416
  - o Alex Loke, Asian Journal of Law and Society, Vol.10, Issue 2, 2023, 341 342

## JOURNALS EDITED

- 1. Special issue on "FinTech and Law", Asian Journal of Comparative Law, forthcoming (with co-editor Christian Hofmann)
- 2. Special issue on "Sustainable Finance and Capital Markets", 23(1) European Business Organization Law Review, 2022 (with co-editor Iris Chiu and Danny Busch)
- 3. Special issue on "<u>Alternative Investments, Sustainability in the Digital Age</u>", 21(1) European Business Organization Law Review, 2020 (with co-editor Hans Tjio)
- 4. Special issue on "<u>Alternative Investment in the Tech Era</u>", Singapore Journal of Legal Studies, March 2020 (with co-editor Dora Neo)

#### **FULL-LENGTH JOURNAL ARTICLES**

- 1. **Lin Lin,** "The Rise of Sustainable Finance in China: ESG Funds and Regulation", Capital Markets Law Journal (forthcoming 2025)
- 2. **Lin Lin, "C-REITs and Investor Protection**", 39 (2), 2023 Banking and Finance Law Review 159- 190 (lead article)
- 3. **Lin, Lin and Puchniak, Dan W.,** "<u>Institutional Investors in China: Corporate Governance and Policy Channeling in the Market Within the State</u>", 35(1) 2022 Columbia Journal of Asian Law 74-159
  -Featured on the Oxford Business Law Blog
  - -Featured on the Columbia law School Blue Sky Blog
- 4. **Lin Lin and Hong Yanrong**, 23(1) 2022 "<u>Developing a Green Bond Market: Lessons from China</u>", European Business Organization Law Review, 143 185
- 5. **Lin Lin,** 23(1) 2022 "<u>Venture Capital in the Rise of Sustainable Investments</u>", European Business Organization Law Review 187 216
- 6. **Lin Shaowei and Lin Lin,** 13(2) 2021 "<u>Directors' Duty of Care in China: Empirical and Comparative Perspective</u>" Tsinghua China Law Review
- 7. **Lin Lin**, "Contractual Innovation in China's Venture Capital Market" (2020) 21(1) European Business Organization Law Review 101-138
- 8. **Lin Lin** and Umakanth Varottil, "Venture Capital in China and India: Does Business Form Matter?" (2020) 53(3) Vanderbilt Journal of Transnational Law 949-987
- 9. **Lin Lin** and Christopher Chen, "<u>The Promise and Perils of InsurTech</u>" (2020) 1 Singapore Journal Legal Studies 115-142

- 10. **Lin Lin**, "Regulating FinTech: The Case of Singapore" (2019) 35 Banking and Finance Law Review 94-119
- 11. **Lin Lin** and Dominika Nestarcova, "Venture Capital in the Rise of Crypto Economy" (2019) 16(2) Berkeley Business Law Journal 533-571
- 12. **Lin Lin**, "Venture Capital in Singapore: The Way Forward" (2019) 5 Journal of Business Law 363-387 (peer-reviewed)
- 13. **Lin Lin**, "Code of Corporate Governance: Lessons from Singapore to China" (2019) 40(7) Company Lawyer 227-236
- 14. Lin Lin, "Private Equity Investor Protection: Conceptualizing Duties of Partners in China" (2018) 15(1) Berkeley Business Law Journal 43-91
- 15. **Lin Lin**, "Engineering a Venture Capital Market: Lessons from China" (2017) 30(1) Columbia Journal of Asian Law 160-220
- Lin Lin, "Managing the Risks of Equity Crowdfunding: Lessons from China" (2017) 17(2) Journal of Corporate Law Studies 327-366
  - The top 10 most cited articles published in Journal of Corporate Law Studies
- 17. **Lin Lin**, "Venture Capital Exits and the Structure of Stock Market: Lessons from China" (2017) 12 (1) Asian Journal of Comparative Law 1-40
  - The top 10 most cited articles published in Asian Journal of Comparative Law
- 18. **Lin Lin** and Michael Ewing-chow, "<u>The Doing Business Indicators in Minority Investor Protection:</u> <u>The Case of Singapore</u>" (2016) 1 Singapore Journal of Legal Studies 46-69
- 19. **Lin Lin**, "Regulating Executive Compensation in China: Problems and Solutions" (2014) 32(2) Journal of Law and Commerce 207-254
- 20. **Lin Lin**, "The Evolution of Partnerships in China from the Perspective of Asset Partitioning" (2013) 18(2) Stanford Journal of Law, Business and Finance 215-249
- 21. Lin Lin, "The Private Equity Limited Partnership in China: A Critical Evaluation of Active Limited Partners" (2013) 13(1) Journal of Corporate Law Studies 185-217
- 22. Lin Lin, "Limited Partners' Derivative Action: Problem and Prospects in the Private Equity Market of China" (2011) 41(2) Hong Kong Law Journal 517-546
- 23. **Lin Lin**, "Limited Liability Partnership in China: A Long Way Forward" (2010) 7 International Company and Commercial Law Review 259-270
- 24. **Lin Lin &** H Y Yeo, "Limited Partnership: A New Business Vehicle in People's Republic of China" (2010) 25(2) Butterworths Journal of International Banking and Financial Law 104-109

### **ARTICLE WRITTEN IN CHINESE**

25. **Lin Lin**, "The Law of Venture Capital in Singapore" (2020) 1(8) Business and Economic Law Review 41-63

## **SHORTER ARTICLES**

- 26. Iris H-Y Chiu, **Lin Lin** and David Rouch, "Law and Regulation for Sustainable Finance" 23(1) (2022) European Business Organization Law Review 1–7
- 27. **Lin Lin** and Hans Tjio, "<u>Alternative Investments, Sustainability in the Digital Age</u>" (2020) 21(1) European Business Organization Law Review 1-5
- 28. **Lin Lin** and Dora Neo, "<u>Alternative Investments in the Tech Era</u>", (2020) 1 Singapore Journal of Legal Studies 1-3

# BOOK CHAPTERS

- 1. Lin Lin, "Corporate Scandals in China" in Joon Hyug CHUNG, Ernest Lim and Lan Luh Luh eds, Corporate Scandals in Asia (Cambridge University Press, forthcoming)
- 2. Lin Lin, "Greening the State from Within: Stakeholders' Role in Corporate Governance and ESG in China", in Catherine Malecki, Anne-Laure Le Nadant and Owen McIntyre eds, Stakeholder Corporate Governance: New Perspectives, new challenges, (Edward Elgar, forthcoming)

- 3. Lin Lin, "Private Equity and Venture Capital: A Critical Assessment Of Their Growth And Self-Regulation", forthcoming book chapter in Iain MacNeil & H-Y. Chiu eds, Research Handbook on Global Capital Markets Law (Edward Elgar, 2023) pp 58-76.
- 4. Lin Lin, "<u>Private Equity Investments in China</u>" in Brian Broughman and Elisabeth de Fontenay eds, Research Handbook on the Structure of Private Equity and Venture Capital (Edward Elgar, forthcoming)
- 5. Lin Lin, "<u>Shareholder Engagement in East Asia</u>" in Luca Enriques and Giovanni Strampelli, Board-Shareholder Dialogue: Policy Debate, Legal Constraints and Best Practices (Cambridge University Press, 2024) pp426-449.
- 6. Lin Lin, Venture Capital and Sustainable Investment in The Palgrave Encyclopaedia of Private Equity, eds by Benjamin Hammer and Douglas Cumming (Springer, 2024)
- 7. Lin Lin, "Venture Capital, Angel Capital & Other Finance, IPOs and Acquisition" in Fu Xiaolan, Bruce Mckern & Chen Jin eds, *Oxford Handbook of China Innovation*, (Oxford University Press, 2022) Part IV, section 4.4.
- 8. Dan Puchniak and Lin Lin, "Institutional Investors in China: An Autochthonous Mechanism Unrelated to UK-cum-Global Stewardship", in D. Katelouzou & D. Puchniak eds, *Global Shareholder Stewardship*, (Cambridge University Press, 2022)
- 9. Lin Lin, "Private Equity" in Dora Neo, Hans Tjio and Lan Luh Luh eds, *Financial Services Law and Regulation* (Academy Publishing, 2018) 563-594
- 10. Lin Lin, "Special General Partnership in China" in Frenkel, David A. and Gerner-Beuerle, Carsten, eds, *Challenges of the Law in a Permeable World* (ATINER, 2009) 87-100

#### **BOOK REVIEWS**

- 1. **Lin Lin**, Book review of *The Venture Capital State: The Silicon Valley Model in East Asia* (Cornell, 2018) by Robyn Klingler-Vidra, (2020) 79(4) Journal of Asian Studies
- 2. **Lin Lin**, Book Review of *Corporate Crime in China: History and Contemporary Debates* by Zhou Zhenjie (2015) Singapore Journal of Legal Studies 284 -287

## TRANSLATION

Translation of the article titled "The EU Sustainable Finance Agenda: Developing Governance for Double Materiality in Sustainability Metrics" written by Iris H-Y Chiu, Economic and Trade Law Review (2022) Vol.3. page, 130-158

### INTERNATIONAL REPORTS

- 1. Transforming Paradigms: A Global AI in Financial Services Survey (Cambridge Centre for Alternative Finance and World Economic Forum, 2020)
- 2. <u>Early Lessons on Regulatory Innovations to Enable Inclusive FinTech: Innovation Offices, Regulatory Sandboxes, and RegTech</u> (FinTech Working Group of the United Nations Secretary-General's Special Advocate (UNSGSA) for Inclusive Finance for Development and Cambridge Centre for Alternative Finance, 2019)
- 3. Global Cryptoasset Regulatory Landscape Study (Cambridge Centre for Alternative Finance, 2019)
- 4. <u>The ASEAN Fintech Ecosystem Benchmarking Study</u> (Cambridge Centre for Alternative Finance, Asian Development Bank Institute (ADBI) & FinTechSpace, 2019)

#### LAW REFORM CONSULTATION

- 1. Invited responses to questionnaire for World Bank Doing Business Report on the reform of dispute resolution indicator 2022
- 2. Responses to the questionnaire issued by Monetary Authority of Singapore (MAS) and Accounting and Corporate Regulatory Authority (ACRA) on Singapore Limited Partnership Act, May 2020
- 3. Consultation on regulations of private equity in Singapore and EU, Asset Management Association of China (AMAC), People's Republic of China, 2020

- 4. Consultation report for the Steering Committee of the Taiwan Company Law Reform, 2015
- 5. Public consultation report on Review of Business Registration Act of Singapore, Legal Policy Reform Project 2011

# **INVITED PUBLIC LECTURES/SEMINARS (SELECTED)**

- 1. FinTech Regulation in Singapore, Macao Financial Law Association, 8 November 2025
- 2. FinTech Regulation in the AI Era, Xiamen University, 14 October 2025
- 3. Law and Regulation on Sustainable Investments, Zhejiang University, 30 May 2024
- 4. Roundtable on Chinese Climate Finance Law: Enhancing institutional understanding and linkage, King's College London, 11 July 2025, via zoom
- 5. Roundtable on 'The EU Regulation On ESG Rating Providers' London, 7th November 2024, University College London, via zoom
- 6. Forum on Rule of Law in Financial Service, China Securities Law Society, 31 August 2024, over zoom
- 7. Institutional Investors in China: Corporate Governance and Policy Channeling in the Market Within the State, Peking University, School of Transnational Law, April 13, 2022
- 8. Venture Capital in China: Law and Practice, 26 November 2021, East Asia Institute, NUS
- 9. The Role of the State in Venture Capital Markets- VC and FDI in a Comparative Context, 27 May 2021 (London Centre for Commercial and Financial Law)
- 10. Alternative Investments in the Tech Era, 2020 Global FinTech Online Workshop, 6 Nov 2020
- 11. Contractual Innovation in China's Venture Capital Market, Commercial Law Centre, University of Oxford, 21 Nov 2019
- 12. Venture Financing in China, Legal Innovations and Opportunities, University College London (UCL), 11 Nov 2019
- 13. Venture Capital: A Comparative Analysis of China, US and Singapore, School of Law, Tsinghua University, 13 June 2019
- 14. Valuation Adjustment Mechanisms in China's Private Equity Market, Sun Yet-sun University, 13 Dec 2019
- 15. Private Equity and Venture Capital: Law and Practice, China University of Political Science and Law, 20 June 2019
- 16. Limited Partnership: A Comparative Study, East China University of Political Science and Law, 23 April 2019
- 17. Private Equity: Law and Practice, Shanghai Jiao Tong University, 19 April 2019
- 18. Entity Formation and Early Financing in China and the US, Sino-American Expert Forum on Legal and Funding Issues for Successful Start-ups, UC Berkeley and Peking University, School of Transnational Law, 2 Dec 2018
- 19. The Rise and Fall of Equity Crowdfunding, the 4<sup>th</sup> Luxemburg FinTech Conference, University of Luxembourg and the Luxembourg House of Financial Technology, 10 October 2018
- 20. Company Law Reform in Singapore, Workshop on Company Law Reform in Taiwan, Steering Committee of the Taiwan Company Law Reform, 7 Jan 2016
- 21. A Critical Study of the Chinese Venture Capital Market", National Taiwan University, 21 May 2015
- 22. Venture Capital in China: Law and Practices, The Finnish Center of Chinese Law and Chinese Legal Culture, University of Helsinki, 26 May 2015
- 23. A Critical Evaluation of Venture Capital and Private Equity Funds in China, Rock Centre for Corporate Governance, Stanford University, 16 May 2013

## **SELECTED EXECUTIVE TRAINING**

- 1. EMBA programme, Corporate Governance, Business School, National University of Singapore
- 2. Nanyang Technological University (NTU), Nanyang Technopreneurship Centre, Blockchain Innovation Strategy Programme
- 3. Bank of China, FinTech and Regulation in Singapore, Singapore

4. The Ministry of Corporate Affairs Government of India, Attachment Programme of the Indian Corporate Law Services

**LANGUAGE**: English, Chinese Mandarin, Cantonese, Hakka